

HICKMAN PALERMO TRUONG & BECKER LLP  
1600 WILLOW STREET  
SAN JOSE, CALIFORNIA 95125-5106  
TEL: (408) 414-1080  
FAX: (408) 414-1076

RECEIVED  
CENTRAL FAX CENTER

JUL 09 2004

OFFICIAL

FACSIMILE TRANSMITTAL SHEET

TO:	FROM:
Pramila Parthasarathy, Examiner	Kirk D. Wong
COMPANY:	DATE:
USPTO - GAU 2136	JULY 9, 2004
FAX NUMBER:	TOTAL NO. OF PAGES INCLUDING COVER:
(703) 872-9306	14
PHONE NUMBER:	SENDER'S REFERENCE NUMBER:
(703) 305-0439	60097-0145
RE:	U.S. SERIAL NUMBER:
Response to Office Action	09/642,617

☒ URGENT    ☐ FOR REVIEW    ☐ PLEASE COMMENT    ☐ PLEASE REPLY    ☐ PLEASE RECYCLE

NOTES/COMMENTS:

Enclosed for filing is a Response to office action due today, 7/9/04, and a Fee Transmittal (in duplicate) authorizing charge to our deposit account.

Cordially,  
Annette Jacobs  
Assistant to Kirk D. Wong  
Hickman Palermo Truong & Becker, LLP

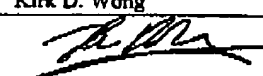
THE INFORMATION CONTAINED IN THIS FACSIMILE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

PTO/SB/17 (12/00)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>AMENDMENT FEE TRANSMITTAL</b> <b>for FY 2003</b> <i>Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 C.F.R. §§ 1.27 AND 1.28</i>		<b>Complete if Known</b>																																																																																																																																																																																																																																																																																															
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) <b> 36.00</b>		<b>Application Number</b> 09/642,617	<b>Filing Date</b> August 17, 2000																																																																																																																																																																																																																																																																																														
<b>METHOD OF PAYMENT (check one)</b>		<b>First Named Inventor</b> David Platt	<b>Examiner Name</b> Pramila Parthasarathy																																																																																																																																																																																																																																																																																														
<b>1. <input checked="" type="checkbox"/> Please charge \$36.00 to Deposit Account 50-1302 pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.</b> <b>Deposit Account Number</b> 50-1302 <b>Deposit Account Name</b> Hickman Palermo Truong & Becker, LLP		<b>Group/Art Unit</b> 2136	<b>Attorney Docket No.</b> 60097-0145																																																																																																																																																																																																																																																																																														
<b>2. <input type="checkbox"/> Payment Enclosed:</b> <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		<b>3. <input type="checkbox"/> Applicant(s) is entitled to small entity status. See 37 CFR 1.27.</b>																																																																																																																																																																																																																																																																																															
FEE CALCULATION		FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																															
<b>1. BASIC FILING FEE</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>770</td> <td>2001</td> <td>385</td> <td>Utility filing fee</td> <td></td> </tr> <tr> <td>1002</td> <td>340</td> <td>2002</td> <td>170</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>1003</td> <td>530</td> <td>2003</td> <td>265</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>1004</td> <td>770</td> <td>2004</td> <td>385</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>1005</td> <td>100</td> <td>2005</td> <td>80</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;"><b>SUBTOTAL (1)</b></td> <td><b>(\$) 0.00</b></td> </tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	1001	770	2001	385	Utility filing fee		1002	340	2002	170	Design filing fee		1003	530	2003	265	Plant filing fee		1004	770	2004	385	Reissue filing fee		1005	100	2005	80	Provisional filing fee		<b>SUBTOTAL (1)</b>					<b>(\$) 0.00</b>	<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>60</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252</td> <td>210</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253</td> <td>475</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,400</td> <td>2254</td> <td>740</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>2010</td> <td>2255</td> <td>1005</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>330</td> <td>2401</td> <td>165</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402</td> <td>165</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>280</td> <td>2403</td> <td>145</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1453</td> <td>1330</td> <td>2453</td> <td>665</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1330</td> <td>2501</td> <td>665</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>1502</td> <td>480</td> <td>2502</td> <td>240</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>1503</td> <td>640</td> <td>2503</td> <td>320</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>1480</td> <td>130</td> <td>1480</td> <td>130</td> <td>Petitions in the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>1808</td> <td>180</td> <td>1808</td> <td>180</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>1809</td> <td>770</td> <td>2809</td> <td>385</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>1810</td> <td>770</td> <td>2810</td> <td>385</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td colspan="5">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="5">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;"><b>SUBTOTAL (2)</b></td> <td><b>(\$) 36.00</b></td> </tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath		1052	60	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	420	2252	210	Extension for reply within second month		1253	950	2253	475	Extension for reply within third month		1254	1,400	2254	740	Extension for reply within fourth month		1255	2010	2255	1005	Extension for reply within fifth month		1401	330	2401	165	Notice of Appeal		1402	330	2402	165	Filing a brief in support of an appeal		1403	280	2403	145	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1330	2453	665	Petition to revive - unintentional		1501	1330	2501	665	Utility issue fee (or reissue)		1502	480	2502	240	Design issue fee		1503	640	2503	320	Plant issue fee		1480	130	1480	130	Petitions in the Commissioner		1807	50	1807	50	Petitions related to provisional applications		1808	180	1808	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____						Other fee (specify) _____						<b>SUBTOTAL (2)</b>					<b>(\$) 36.00</b>	<b>2. EXTRA CLAIM FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>Highest Paid Claims</th> <th>Extra Claims</th> <th>Fee from Below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>28</td> <td>26</td> <td>2</td> <td>18.00</td> <td>36.00</td> </tr> <tr> <td>2</td> <td>3</td> <td>0</td> <td>84.00</td> <td>0.00</td> </tr> <tr> <td colspan="5">Multiple Dependent</td> </tr> </tbody> </table> <p><i>*or number previously paid, if greater; For Reissues, see below</i></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1202</td> <td>18</td> <td>2202</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>1201</td> <td>86</td> <td>2201</td> <td>43</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>1203</td> <td>290</td> <td>2203</td> <td>145</td> <td>Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td>1204</td> <td>88</td> <td>2204</td> <td>43</td> <td>**Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205</td> <td>9</td> <td>**Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;"><b>SUBTOTAL (3)</b></td> <td><b>(\$) 0.00</b></td> </tr> </tbody> </table>		Total Claims	Highest Paid Claims	Extra Claims	Fee from Below	Fee Paid	28	26	2	18.00	36.00	2	3	0	84.00	0.00	Multiple Dependent					Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	1202	18	2202	9	Claims in excess of 20		1201	86	2201	43	Independent claims in excess of 3		1203	290	2203	145	Multiple dependent claim, if not paid		1204	88	2204	43	**Reissue independent claims over original patent		1205	18	2205	9	**Reissue claims in excess of 20 and over original patent		<b>SUBTOTAL (3)</b>					<b>(\$) 0.00</b>
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																																																																																																												
1001	770	2001	385	Utility filing fee																																																																																																																																																																																																																																																																																													
1002	340	2002	170	Design filing fee																																																																																																																																																																																																																																																																																													
1003	530	2003	265	Plant filing fee																																																																																																																																																																																																																																																																																													
1004	770	2004	385	Reissue filing fee																																																																																																																																																																																																																																																																																													
1005	100	2005	80	Provisional filing fee																																																																																																																																																																																																																																																																																													
<b>SUBTOTAL (1)</b>					<b>(\$) 0.00</b>																																																																																																																																																																																																																																																																																												
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																																																																																																												
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																																																																																													
1052	60	2052	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																																																																																																													
1053	130	1053	130	Non-English specification																																																																																																																																																																																																																																																																																													
1812	2,520	1812	2,520	For filing a request for reexamination																																																																																																																																																																																																																																																																																													
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																																																													
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																																																													
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																																																																																																																													
1252	420	2252	210	Extension for reply within second month																																																																																																																																																																																																																																																																																													
1253	950	2253	475	Extension for reply within third month																																																																																																																																																																																																																																																																																													
1254	1,400	2254	740	Extension for reply within fourth month																																																																																																																																																																																																																																																																																													
1255	2010	2255	1005	Extension for reply within fifth month																																																																																																																																																																																																																																																																																													
1401	330	2401	165	Notice of Appeal																																																																																																																																																																																																																																																																																													
1402	330	2402	165	Filing a brief in support of an appeal																																																																																																																																																																																																																																																																																													
1403	280	2403	145	Request for oral hearing																																																																																																																																																																																																																																																																																													
1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																													
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																																																													
1453	1330	2453	665	Petition to revive - unintentional																																																																																																																																																																																																																																																																																													
1501	1330	2501	665	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																													
1502	480	2502	240	Design issue fee																																																																																																																																																																																																																																																																																													
1503	640	2503	320	Plant issue fee																																																																																																																																																																																																																																																																																													
1480	130	1480	130	Petitions in the Commissioner																																																																																																																																																																																																																																																																																													
1807	50	1807	50	Petitions related to provisional applications																																																																																																																																																																																																																																																																																													
1808	180	1808	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																													
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																																													
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																																																																													
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																																																													
Other fee (specify) _____																																																																																																																																																																																																																																																																																																	
Other fee (specify) _____																																																																																																																																																																																																																																																																																																	
<b>SUBTOTAL (2)</b>					<b>(\$) 36.00</b>																																																																																																																																																																																																																																																																																												
Total Claims	Highest Paid Claims	Extra Claims	Fee from Below	Fee Paid																																																																																																																																																																																																																																																																																													
28	26	2	18.00	36.00																																																																																																																																																																																																																																																																																													
2	3	0	84.00	0.00																																																																																																																																																																																																																																																																																													
Multiple Dependent																																																																																																																																																																																																																																																																																																	
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																																																																																																												
1202	18	2202	9	Claims in excess of 20																																																																																																																																																																																																																																																																																													
1201	86	2201	43	Independent claims in excess of 3																																																																																																																																																																																																																																																																																													
1203	290	2203	145	Multiple dependent claim, if not paid																																																																																																																																																																																																																																																																																													
1204	88	2204	43	**Reissue independent claims over original patent																																																																																																																																																																																																																																																																																													
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																																																																													
<b>SUBTOTAL (3)</b>					<b>(\$) 0.00</b>																																																																																																																																																																																																																																																																																												
<b>SUBMITTED BY</b> <b>Name (Print/Type)</b> Kirk D. Wong <b>Signature</b> 		<b>Registration No. (Attorney/Agent)</b> 43,284 <b>Telephone</b> (408) 414-1080 <b>Date</b> July 9, 2004																																																																																																																																																																																																																																																																																															

**WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send to: PTO, Box 141, Alexandria, VA 22304-4411.

Docket No.: 60097-0145

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
CENTRAL FAX CENTER

JUL 09 2004

In re application of:

Confirmation No.: 6921

David Platt

Group Art Unit No.: 2136

Serial No.: 09/642,617

Examiner: Pramila Parthasarathy

Filed: August 17, 2000

For: DRIVE/HOST LOCKING SYSTEM

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450*Via Facsimile (703) 872-9306*

OFFICIAL

**RESPONSE PURSUANT TO 37 C.F.R. § 1.111**

Sir:

This is in response to the Office Action mailed April 9, 2004, the shortened statutory period for which runs until July 9, 2004. This response is presented in the format allowed by the Notice of the Office of Patent Legal Administration issued January 31, 2003.

Amendments to the specification, claims, and Remarks, are presented on separate sheets below.